

On smooth extensions of mappings on Banach spaces.

(X, Z) a pair of spaces

$f: A \subseteq X \rightarrow Z$ function with some nice property (P). The question is:

When is there $F: X \rightarrow Z$ with (P) extending f ? F is called a (P)-extension of f .

1) $P =$ continuity, X, Z are assumed topological.

There is Tietze's theorem: (1915): X is normal iff every continuous function $f: A \text{ closed} \rightarrow \mathbb{R}$ has a continuous extension.

The key is Urysohn's lemma: X is normal iff for all

A, B closed in X , disjoint, there is $f: X \xrightarrow{\text{continuous}} [0, 1]$ s.t. $f|_A = 1, f|_B = 0$.



Proposition: If X is paracompact and Z is any B -space, every continuous $f: A \xrightarrow{\text{closed}} X \rightarrow Z$ has a continuous extension.

2) $P =$ Lipschitz, X, Z are assumed metric.

There is McShane's theorem: (1934) If $f: A \subseteq X \rightarrow \mathbb{R}$ is Lipschitz, the inf convolute $F(x) = \inf_{a \in A} (f(a) + L_p d(a, x))$ is a Lipschitz extension with same Lipschitz constant.

There is a counterexample: Nami (2001): $X = \ell_p, Z = \ell_2$ with $1 < p < 2$
 $X = \ell_2, Z = \ell_q$ with $2 < q < \infty$.

These pairs do not enjoy (E) " $\exists C \forall f: A \subseteq X \rightarrow Z \exists F: X \rightarrow Z \begin{matrix} F|_A = f \\ L_p F \leq C L_p f \end{matrix}$ "

3) Linear extension: (X, Z) Banach spaces.

There is the Hahn-Banach theorem.

Also: Maurey's theorem (1974): If X has type 2 and Z has cotype 2, then there is

the linear extension property: $\lambda = \lambda(X, Z) > 1$ such that every bounded linear $T: Y \xrightarrow{\text{closed subspace}} X \rightarrow Z$ has a bounded linear extension $\tilde{T}: X \rightarrow Z$ and $\|\tilde{T}\| \leq \lambda \|T\|$.

4) Smooth extensions.

(X, Z) Banach spaces, $A \text{ closed} \subseteq X, f: A \rightarrow Z$ When is there a C^1 -smooth $F: X \rightarrow Z$ such that $F|_A = f$.

(2) When is there a C^1 -smooth and Lipschitz $F: X \rightarrow Z$ s.t. $F|_A = f$ and $\text{Lip } F \leq C \text{Lip } f$, where $C = C(X, Z)$.

(2) Sánchez

If $\dim X < \infty$, there is a huge number of papers: Whitney 1936: C^k -smooth, $X = \mathbb{R}$. Glaeser (1958): C^1 -smooth, $X = \mathbb{R}^n$. Fefferman (2007): C^1 -smooth, $X = \mathbb{R}^n$.

If $\dim X = \infty$, X separable, with C^1 -smooth norm, then [Azaña, Fry, Keener, 2008] every C^1 -smooth $f: \underbrace{A \subseteq X}_{\text{set?!}} \rightarrow \underbrace{\mathbb{R}}_{Z?!}$ has a C^1 -smooth extension.

4-1: Smooth extension from closed subsets.

Def: (*) (X, Z) has (*) if $\exists C = C(X, Z) \geq 1 \forall \varepsilon > 0 \forall A \subset X \forall f: A \rightarrow Z$ Lipschitz $\exists g: X \rightarrow Z$ C^1 -smooth Lipschitz with $\text{Lip } g \leq C \text{Lip } f$ and $\|g(x) - f(x)\| \leq \varepsilon$ on A .

(A) (X, Z) has (A) if the same holds at least for $A = X$.

(E) (X, Z) has (E) if the same holds without requiring g C^1 -smooth, but $\varepsilon = 0$.

We have $\left. \begin{matrix} (A) \\ (E) \end{matrix} \right\} \Rightarrow (*) \Rightarrow (A)$

But we have $(*) \Rightarrow (E)$. Yes if Z is a dual space!

Do we have $(A) \Rightarrow (*)$? No in general?

Recall Nao's counterexamples: they satisfy non(E), and thus non(*)

Fry (2008) proved that (L_p, L_q) , $1 < p, q < \infty$, has property (A)

Recall that if $f: X \rightarrow Z$ C^1 -smooth, then $f': X \rightarrow \mathcal{L}(X, Z)$ continuous.

if $f: X \rightarrow Z$ is further more Lipschitz, then f' is bounded.

Def: (MVC) $f: A \text{ closed } \subseteq X \rightarrow Z$ satisfies the mean value condition if $\exists D: A \rightarrow \mathcal{L}(X, Z)$ continuous $\forall \varepsilon > 0 \forall x \in A \exists r > 0 \forall y \in A \cap B(x, r) \|f(x) - f(y) - D(x)(x - y)\| \leq \varepsilon \|x - y\|$

N.B.: (MVC) is necessary

Theorem (with Narjón & Serilla): If (X, Z) has (*), $f: A \text{ closed } \subseteq X \rightarrow Z$ has

(MVC) iff there is a C^1 -smooth extension.

Theorem: If (X, Z) has (*), then $f: A \text{ closed } \subseteq X \rightarrow Z$ Lipschitz satisfies (MVC) with

D bounded iff there is a C^1 -smooth-Lipschitz extension $F: X \rightarrow Z$ with $\text{Lip } F \leq C(\text{Lip } f + \sup \|D\|)$

N.B.: (*) $\Leftrightarrow$ C^1 -smooth-Lipschitz extension

Corollary: X separable, $Z = \mathbb{R}$. Then $(X, \mathbb{R})$ has $(*) \iff (X, \mathbb{R})$ has C^1 -smooth Lipschitz extension.

(i) $\iff X$ has a C^1 -smooth and Lipschitz bump.

(ii) $\iff X$ is separable

(iii) $\iff (X, \mathbb{R})$ has C^1 -smooth-extension.

(iii) $\iff$ Hájek + John

Let us prove (i) $\iff$ (ii): Let $f(x) = 0$ for $\|x\| \geq 1$. A ψ -extension is a bump function!

These properties are also equivalent to: $\forall A, B$ closed $\subseteq X, A \cap B = \emptyset, \exists f: X \rightarrow [0, 1]$ C^1 -smooth $f|_A = 1, f|_B = 0$.

- Example: $(\mathbb{R}^n, \mathbb{R})$
- $(X, \mathbb{R})$ Hilbert space but X is separable.
 - $(X, \mathbb{R})$ with X Hilbert.
 - $(X = \begin{cases} C(K) \\ X \text{ separable } C^1\text{-smooth norm} \end{cases}, \mathbb{R})$ $\mathbb{R} = \begin{cases} \ell_1(\mathbb{N}) \\ \ell_2(\mathbb{N}) \\ C(K), K \text{ compact metric} \end{cases}$

Counterexample: $(\ell_1, \mathbb{R})$: there is no smooth extension, no smooth Lipschitz extension.

(L_1, L_q) $1 < q < \infty, (L_p, L_2)$ $1 < p < 2$: there is no smooth Lipschitz extension.

4.2: Smooth extension from closed subspaces.

Def: (X, Z) has linear extension if $\exists \lambda(x, z) \geq 1 \forall T: Y$ closed subspace $\subseteq X \xrightarrow[\text{linear}]{\text{bounded}} Z$.

T extends to $\tilde{T}: X \xrightarrow[\text{linear}]{\text{bounded}} Z$ $\|\tilde{T}\| \leq \lambda \|T\|$.

N.B.: The linear extension property is necessary for our problem.

If $T: Y \rightarrow Z$ C^1 -smoothly $F: X \rightarrow Z$ C^1 -extension: $F(0) \in Z(X, Z)$ is the extension we are looking for!

Th: (X, Z) with $(*)$ and linear extension: for every C^1 -smooth

$f: Y$ closed subspace $\subseteq X \rightarrow Z$ has a C^1 -smooth extension

- Examples:
- $(X = \begin{cases} C(K) \\ \ell_p, 1 < p < \infty \\ C(T) \end{cases}, Z = C(K))$
 - (L_p, L_2) $2 < p < \infty$
 - $(X = \begin{cases} C(T) \\ \text{separable with } C^1\text{-smooth norm} \end{cases}, Z = \mathbb{R})$

Question: $f: C_0 \rightarrow \mathbb{R}$ C^1 -smooth. Is there $F: \ell_\infty \rightarrow \mathbb{R}$?

Question: $(X$ WCG with C^1 -smooth norm, $\mathbb{R})$. Does it have (A)?